SUBJECT: INTERNAL AUDIT PROGRESS REPORT 2019-20  DATE OF DECISION: 30th SEPTEMBER 2019  REPORT OF: CHIEF INTERNAL AUDITOR  CONTACT DETAILS  AUTHOR: Name: Elizabeth Goodwin Tel: 023 8083 4616  E-mail: Elizabeth.Goodwin@southampton.gov.uk  Director Name: John Harrison Tel: 023 8083 4897  E-mail: John.Harrison@southampton.gov.uk  STATEMENT OF CONFIDENTIALITY  N/A  BRIEF SUMMARY  The Public Sector Internal Audit Standards 2017 (PSIAS), requires the Chief Internal Auditor (CIA) to provide periodical updates to the Governance Committee on:  Progress made against the agreed annual audit plan.  Results of audit activities and  Management's response to risk that in the CIA's judgement maybe unacceptable to the Authority  All other PSIAS requirements are communicated in either the charter or annual audit opinion, which are reported separately to this committee at various times throughout the year.  Internal Audit Progress for the period 1st April to the 16th September 2019 is covered in the attached Appendix 1. There are no new areas of concern for this reporting period.  RECOMMENDATIONS:  (i) That the Governance Committee notes the Internal Audit Progress report for the period 1st April to the 16th September 2019.  REASONS FOR REPORT RECOMMENDATIONS  1. In accordance with the Public Sector Internal Audit Standards the Chief Internal Auditor is required to provide an update on progress against the annual audit plan to the Governance Committee for information.  ALTERNATIVE OPTIONS CONSIDERED AND REJECTED  2. None  DETAIL (Including consultation carried out)  3. None  RESOURCE IMPLICATIONS  Capital/Revenue									
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Capital/Revenue	3.	None							
	RESOU	RCE IMP	LICATION	NS					
4. None	Capital	/Revenue							
	4.	None							

Property/Other								
5.	None							
LEGAL IMPLICATIONS								
Statuto	ry power to underta	ike proposals	in the report:					
6.	The Accounts and Audit (England) Regulations 2015 state 'a relevant body must undertake an effective internal audit to evaluate the effectiveness of its risk management, control and governance processes, taking into account the Public Sector Internal Auditing Standards.							
Other Legal Implications:								
7.	None							
RISK MANAGEMENT IMPLICATIONS								
8.	The report is for note only, there is no decision to be made.							
POLICY FRAMEWORK IMPLICATIONS								
9.	9. None							
KEY DECISION? No								
WARDS/COMMUNITIES AFFECTED: None								
SUPPORTING DOCUMENTATION								
Appendices								
1.	Internal Audit Progress Report for the period 1 <sup>st</sup> April to the 16 <sup>th</sup> September 2019.							
Documents In Members' Rooms								

1.	None							
Equality	Equality Impact Assessment							
Do the implications/subject of the report require an Equality and Safety Impact Assessment (ESIA) to be carried out.								
Data Protection Impact Assessment								
	Do the implications/subject of the report require a Data Protection No Impact Assessment (DPIA) to be carried out.							
Other Background Documents Other Background documents available for inspection at: Internal Audit Office, Civic Centre, Municipal, 1st floor, Rm 219.								
Title of None	Background Paper(s):	Relevant Paragraph of the Access to Information Procedure Rules / Schedule 12A allowing document to be Exempt/Confidential (if applicable)						